



GOVERNANCE AND CULTURE REFORM

FEDERAL RESERVE BANK *of* NEW YORK

Building Cultural Capital in the Financial Services Industry: Emerging Practices, Risks and Opportunities

Tuesday, June 4, 2019 – Attendee List

Industry

	First Name	Last Name	Affiliation
1.	Richard	O'Brien	AIG
2.	Christopher	Kelly	AMEX
3.	Shari	Weber	AMEX
4.	Erik	Walsh	Arnold & Porter LLP
5.	Susan	Axelrod	Bank of America
6.	Chris	Fabro	Bank of America
7.	Earl	Littlefield	Bank of America
8.	Marie	Terezakis	Bank of America
9.	Mike	White	Bank of America
10.	Steve	Yankauer	Bank of America
11.	Robyn	Young	Bank of America
12.	Michael	Kruse	Bank of Nova Scotia
13.	Jake	Lawrence	Bank of Nova Scotia
14.	Susan	Segal	Bank of Nova Scotia
15.	Gregg	Rozansky	Bank Policy Institute
16.	Alison	Cottrell	Banking Standards Board
17.	Mary	Ambrecht	Barclays
18.	Richard	Haworth	Barclays
19.	Corinne	Heyes	Barclays
20.	Geraldine	Kemp	Barclays
21.	Chris	Paige	Barclays
22.	Francesca	Turquet	Barclays
23.	Ray	Cameron	Blackrock
24.	Azish	Filabi	Blackrock
25.	Emmanuelle	Bury	BNP Paribas
26.	Susanna	Castillo	BNP Paribas
27.	Richard	Ferguson	BNP Paribas
28.	Jacqueline	Joyston-Bechal	BNY Mellon
29.	Larry	List	BNP Paribas
30.	Kevin	McCarthy	BNY Mellon
31.	Andrea	Pfenning	BNY Mellon
32.	Tracy	Saale	Charles Schwab & Co
33.	Vanessa	Colella	Citigroup
34.	Noelle	Coleman	Citigroup
35.	Cameron	Hedrick	Citigroup
36.	Homer	Hill	Citigroup

	First Name	Last Name	Affiliation
37.	David	Kirshenbaum	Citigroup
38.	Sunita	Koshy	Citigroup
39.	Timothy	Poplawski	Citigroup
40.	George	Soterakis	Citigroup
41.	Scott	Stimpfel	Citigroup
42.	Curtis	Tao	Citigroup
43.	Sara	Wechter	Citigroup
44.	Derek	Bush	Cleary Gottlieb Steen & Hamilton LLP
45.	Michele	Fleming	CLS Bank International
46.	Kenneth	Harvey	CLS Bank International
47.	Martine	Boutinet	Credit Agricole
48.	Marc-Andre	Poirier	Credit Agricole
49.	Saumya	Bhavsar	Credit Suisse
50.	Doug	Nieters	Credit Suisse
51.	Bruce	Richards	Credit Suisse
52.	Nomita	Singh	Credit Suisse
53.	Michele	VanTassel	Credit Suisse
54.	Eric	Varvel	Credit Suisse
55.	Luigi	De Ghenghi	Davis Polk & Wardwell LLP
56.	Kate	Clifford	Deutsche Bank
57.	Jan	Ford	Deutsche Bank
58.	Sandy	Krieger	Deutsche Bank
59.	Thomas	Patrick	Deutsche Bank
60.	Pamela	Root	Deutsche Bank
61.	Graham	Warner	Deutsche Bank
62.	Michael	Bodson	DTCC
63.	Grant	Harbrecht	Fifth Third Bank
64.	Tanya	Kleindienst	Fifth Third Bank
65.	William	Isaac	FTI Consulting
66.	Richard	Wolf	FTI Consulting
67.	Dane	Holmes	Goldman Sachs
68.	Erika	Irish Brown	Goldman Sachs
69.	Rob	Mass	Goldman Sachs
70.	John	O'Connell	Goldman Sachs
71.	Sarah	Smith	Goldman Sachs
72.	Robin	Vince	Goldman Sachs
73.	Ingrid	Keating	HSBC
74.	Ian	Shaw	HSBC
75.	Yuqiang	Xiao	ICBC
76.	Ilse	Heutink-Andes	ING
77.	Mirea	Raaijmakers	ING
78.	Per	Dyrvik	Institute of International Bankers
79.	Stephanie	Webster	Institute of International Bankers
80.	Michael	D'Ausilio	JPMorgan Chase & Co.
81.	Martha	Rubio	JPMorgan Chase & Co.
82.	John	Tribolati	JPMorgan Chase & Co.
83.	Brad	Tully	JPMorgan Chase & Co.
84.	Deirdre	Wilson	KeyBank
85.	Elizabeth	Arzadon	Kiel Advisory Group
86.	Tamara	Scicluna	Kiel Advisory Group
87.	Hollie	Ratliff	Leggs Mason
88.	Jarod	Haslinger	M&T Bank Corporation

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89.	Brian	Valenti	M&T Bank Corporation
90.	Jacques	Busquet	Mizuho
91.	Teiji	Teramoto	Mizuho
92.	Melissa	Aoyagi	Morgan Stanley
93.	Belinda	Blaine	Morgan Stanley
94.	Peg	Sullivan	Morgan Stanley
95.	Raul	Yanes	Morgan Stanley
96.	Olivier	Delay	Natixis
97.	Stephane	Morin	Natixis
98.	Francois	Riahi	Natixis
99.	Toru	Wada	Norinchukin
100.	Elizabeth	St-Onge	Oliver Wyman
101.	Terry	Bulger	Rabobank
102.	Bill	Chester	Rabobank
103.	Vaughn	Swartz	Rabobank
104.	Kariann	Dale	RBC
105.	Jeff	Goldbert	RBC
106.	Robert	Melo	RBC
107.	Robert	Mundheim	Shearman & Sterling LLP
108.	Yoshihiro	Hyakutome	SMFG
109.	Natsuhiko	Samejima	SMFG
110.	Nancy	Harrington Jones	Société Générale
111.	Slawomir	Krupa	Société Générale
112.	Frederic	Oudea	Société Générale
113.	Natalie	Rachou	Société Générale
114.	Torry	Bernsten	Standard Chartered
115.	Suhas	Kulkarni	Standard Chartered
116.	Jeff	Kupfer	Starling Trust Sciences
117.	Stephen	Scott	Starling Trust Sciences
118.	Amy	Armstrong	State Street
119.	Anne	Bourdine	State Street
120.	Anthony	O'Reilly	State Street
121.	Thomas	Baxter	Sullivan & Cromwell LLP
122.	H. Rodgin	Cohen	Sullivan & Cromwell LLP
123.	Michael	Wiseman	Sullivan & Cromwell LLP
124.	Bryan	Kanefield	The Clearing House
125.	Ken	O'Sullivan	The Institute of Banking
126.	Nancy	Rigby	U.S. Bank
127.	Ryan	Long	UBS
128.	Marc	Montanaro	UBS
129.	Tom	Naratil	UBS
130.	Michael	Silva	UBS
131.	Sarah	Dahlgren	Wells Fargo
132.	David	Galloreese	Wells Fargo
133.	Mandy	Norton	Wells Fargo
134.	Mike	Rigby	Wells Fargo

Official Sector

	First Name	Last Name	Affiliation
135.	Stuart	Bingham	Australian Prudential Regulation Authority (APRA)
136.	Laure	Quincey	Autorite de Controle Prudential (ACPR)

	First Name	Last Name	Affiliation
137.	Morgane	Vallaud	Autorite de Controle Prudential (ACPR)
138.	Frank	Corleis	BaFin
139.	Diana	Capone	Banca d'Italia
140.	Luis	Mohedano	Banco de España
141.	Marta	Estavillo	Banco de España
142.	Jane	Leach	Bank of England
143.	Joy	Wann	BCBS
144.	Claire	Lanigan	Central Bank of Ireland
145.	Gráinne	McEvoy	Central Bank of Ireland
146.	Melanie	de Waal	De Nederlandsche Bank (DNB)
147.	Florian	Narring	European Central Bank
148.	Alfred	Seivold	Federal Deposit Insurance Corp. (FDIC)
149.	Ted	MacDonald	Financial Conduct Authority (FCA)
150.	Yui	Minekawa	Financial Services Agency (FSA)
151.	Toru	Takayama	Financial Services Agency (FSA)
152.	Asa	Thalen	Finansinspektionen (FI)
153.	Carlo	DiFlorio	FINRA
154.	Karen	Wong	Hong Kong Monetary Authority (HKMA)
155.	Jonathan	Ow	Monetary Authority of Singapore (MAS)
156.	Rich	Gaffin	Office of the Comptroller of Currency (OCC)
157.	Kevin	Walsh	Office of the Comptroller of Currency (OCC)
158.	Angie	Radiskovic	Office of the Superintendent of Financial Institutions (OSFI)
159.	Jason	Leung	Securities and Exchange Commission (SEC)
160.	Corey	Frayner	Senate Banking Committee
161.	Manuela	Luzio	Swiss Financial Market Supervisory Authority (FINMA)

Other

	First Name	Last Name	Affiliation
162.	Jennifer	Simpson	Aspen Institute
163.	Celia	Moore	Bocconi University
164.	Damon	Horowitz	Columbia University
165.	Noel	Boyland	Ethical Systems
166.	Caterina	Bulgarella	Ethical Systems
167.	Brian	Gallagher	Ethical Systems
168.	Rohan	Williamson	Georgetown University
169.	Todd	Haugh	Indiana University
170.	Susan	Ochs	New America Foundation
171.	Jonathan	Haidt	New York University/Ethical Systems
172.	Henry	Engler	Reuters
173.	Joanne	Ciulla	Rutgers University
174.	Danielle	Warren	Rutgers University
175.	Richard	Lyons	University of California, Berkeley
176.	Ann	Tenbrunsel	University of Notre Dame
177.	Christina	Skinner	University of Pennsylvania/The Wharton School

Federal Reserve System

	First Name	Last Name	Affiliation
178.	Jennifer	Burns	Federal Reserve Board
179.	Meg	Donovan	Federal Reserve Board
180.	Rick	Naylor	Federal Reserve Board
181.	Teresa	Scott	Federal Reserve Board

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182.	April	Snyder	Federal Reserve Board
183.	Laura	Duhamel Rogers	Federal Reserve Bank of Boston
184.	Marilyn	Arbuthnott	Federal Reserve Bank of New York
185.	Stein	Berre	Federal Reserve Bank of New York
186.	Paul	Bhatti	Federal Reserve Bank of New York
187.	Elizabeth	Borkin	Federal Reserve Bank of New York
188.	Patrick	Brady	Federal Reserve Bank of New York
189.	William	Brodows	Federal Reserve Bank of New York
190.	Colleen	Burke	Federal Reserve Bank of New York
191.	Vic	Chakrian	Federal Reserve Bank of New York
192.	Stephanie	Chaly	Federal Reserve Bank of New York
193.	Mary	Craig	Federal Reserve Bank of New York
194.	Toni	Dechario	Federal Reserve Bank of New York
195.	Caroline	Frawley	Federal Reserve Bank of New York
196.	Martin	Grant	Federal Reserve Bank of New York
197.	Dana	Green	Federal Reserve Bank of New York
198.	Bettyann	Griffith	Federal Reserve Bank of New York
199.	Jack	Gutt	Federal Reserve Bank of New York
200.	Lauren	Hargraves	Federal Reserve Bank of New York
201.	Michael	Held	Federal Reserve Bank of New York
202.	James	Hennessy	Federal Reserve Bank of New York
203.	Tricia	Kissinger	Federal Reserve Bank of New York
204.	Sandra	Lee	Federal Reserve Bank of New York
205.	Meg	McConnell	Federal Reserve Bank of New York
206.	Jacqueline	McCormack	Federal Reserve Bank of New York
207.	Thomas	Noone	Federal Reserve Bank of New York
208.	Anika	Pratt	Federal Reserve Bank of New York
209.	Andrea	Priest	Federal Reserve Bank of New York
210.	Joshua	Rosenberg	Federal Reserve Bank of New York
211.	Denise	Schmedes	Federal Reserve Bank of New York
212.	Vandana	Sharma	Federal Reserve Bank of New York
213.	Michael	Strine	Federal Reserve Bank of New York
214.	Sean	Sullivan	Federal Reserve Bank of New York
215.	Daniel	Sullivan	Federal Reserve Bank of New York
216.	Danielle	Vacarr	Federal Reserve Bank of New York
217.	John	Williams	Federal Reserve Bank of New York
218.	James	Trotta	Federal Reserve Bank of Richmond
219.	Lisa	White	Federal Reserve Bank of Richmond
220.	Summer	Cole	Federal Reserve Bank of San Francisco
221.	Kristen	LeBlond	Federal Reserve Bank of San Francisco